



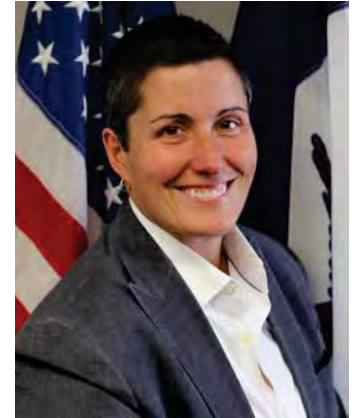
# Iowa Department of Corrections FY2021 Annual Report

**Offender Success is our goal**  
**Reentry is our process**  
**Evidence Based Practices are our tools**  
**Staff is our most important asset**

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## Message from Director Beth Skinner, PhD



To Those Reading this Report,

There is no denying the past year has been one of the most trying times in the history of the Iowa Department of Corrections. On March 23, 2021, we tragically lost two of our own, Robert McFarland and Lorena Schulte. Lori Mathis was also held against her will after coming to the aid of her co-workers. They are true heroes in every sense of the word.

We continue to do all we can as a department to support the families and corrections staff who were impacted that day. While we were shaken to our core, we found a way to band together and I can confidently say we are stronger than ever as a corrections family. The men and women who make up this department have been faced with unimaginable challenges and risen to the occasion time and time again.

Working within a correctional institution requires a commitment to creating opportunities for safer communities. This commitment has been challenged by the events of the past year and the ongoing COVID-19 pandemic. Our institutions continue to rigorously battle and mitigate the spread of COVID-19 amongst inmates and staff. I'm proud to report our infection rates are down significantly from a year ago and our vaccination rates within the prison population continues to rise.

As a department, we have worked incredibly hard to implement policies and procedures throughout our institutions that keep everyone safe as we work through the pandemic. It is difficult to imagine just how quickly infection rates can climb, and our decreasing numbers throughout our institutions indicate our hard work has paid off. But our work is not done yet. We continue to be vigilant and we continue to do all we can to ensure the safety of our staff and the offender population.

In Fiscal Year 2021, the Department encountered unimaginable challenges with a senseless act of violence and an on-going worldwide pandemic. No matter what challenges lie ahead, I have the utmost confidence that our staff members will continue to carry out their duties honorably. Our future is bright, and I am proud each and every day to be a part of the corrections family.

Thank you,

A handwritten signature in blue ink, appearing to read "B/S".

Dr. Beth Skinner, Director

## Message from the Board of Corrections


Due to the continuing COVID-19 pandemic, many of the 2020-2021 Board of Corrections Meetings were conducted publicly via Zoom and simultaneously live streamed on the DOC YouTube channel.

For additional information regarding any Board of Corrections Meeting, please visit:

<https://doc.iowa.gov/about-us/board-corrections>

To view previous livestreams, visit the DOC YouTube channel:

<https://www.youtube.com/channel/UCItY2PABjitQpT4Op2w3kTw>



(L to R) : Deputy Director Sally Kreamer (presenting), Trent Keller, Webster Kranto (Vice Chair), Rebecca Williams (Chair), Larry Kudej

*An updated photo of current Board of Corrections members is not available.  
Photo from the September 2021 Board of Corrections Meeting at the Newton Correctional Facility.*

On behalf of the Iowa Board of Corrections that served during FY2021, we submit this letter to those reviewing the department's annual report.

FY2021 provided unique and unprecedented challenges for the Iowa Department of Corrections. On March 23, a day that will not soon be forgotten, two inmates senselessly took the lives of Robert McFarland and Lorena Schulte. The Department of Corrections showed an extreme commitment to determine the cause and ensure the safety of staff and inmates within their institutions.

As the Department has continued to work through the COVID-19 pandemic, leadership and staff have worked diligently to mitigate the spread of COVID-19 in their institutions and community based correctional facilities. They've regularly updated their Master Action Plan (MAP) as the challenges of the pandemic continue to evolve. They've also instituted an aggressive vaccine protocol so that the staff, incarcerated population, and clients have easy access to the vaccine if they wish to receive it. It has been clear, everything that could be done to move past the pandemic and to more normal operating procedures has been done.

Undoubtedly, this fiscal year has brought challenging times for the department and its leadership. It is certainly not lost on the board and its members how difficult it has been. Despite all of the challenges, they have always kept us apprised and allowed the board to conduct its oversight duties effectively. One thing has been apparent, this department is resilient and will continue to put the safety of staff, the incarcerated population, clients, and the communities in which they serve first.

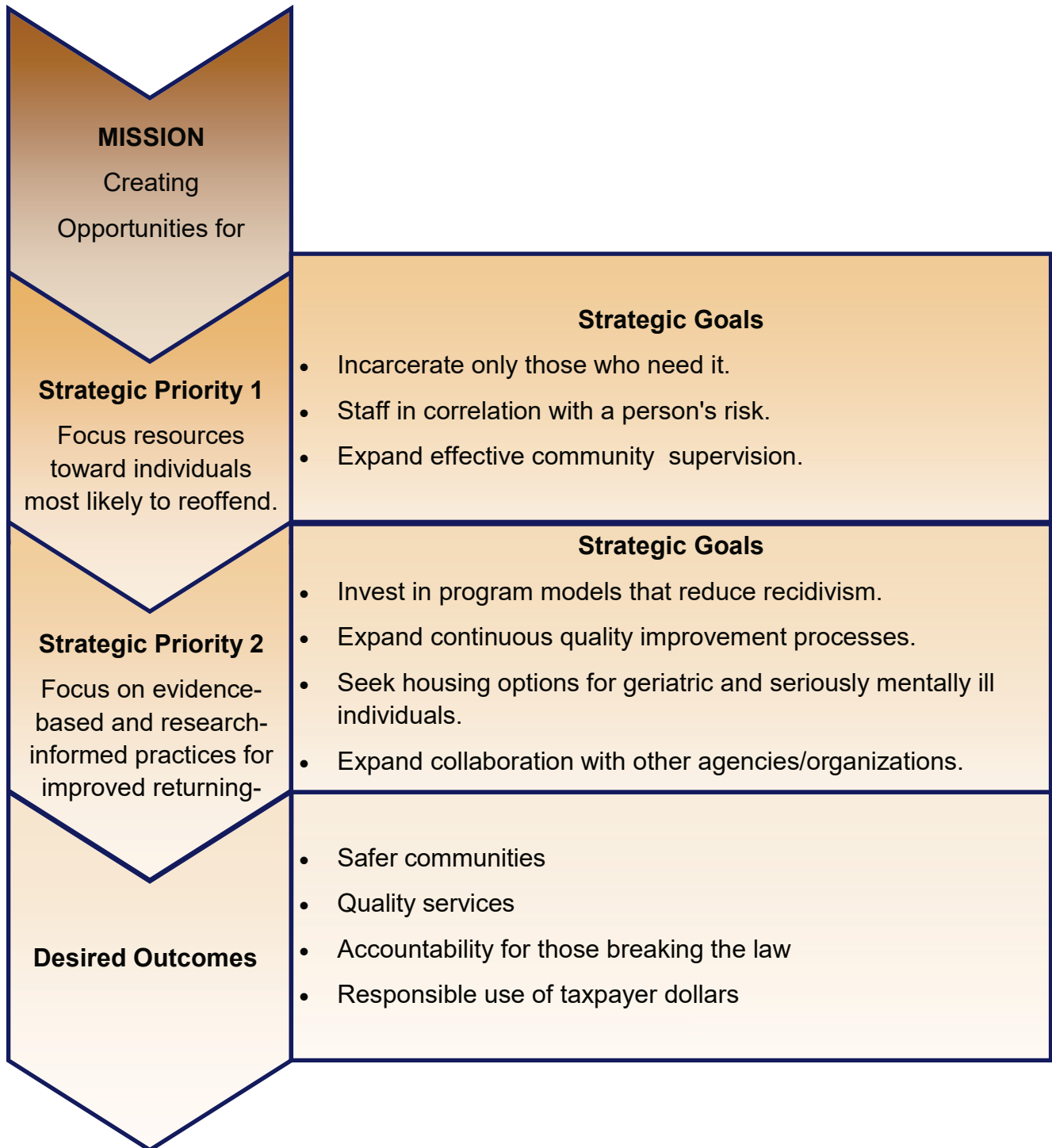
We are incredibly thankful for the men and women that serve the department of corrections in Iowa. Citizens of this state should be proud of the hard-work and dedication displayed on a daily basis by our correctional institutions, CBC's, and department leadership.

Rebecca Williams  
Chair

Webster Kranto  
Vice-Chair



## Agency Strategic Plan





## Iowa Department of Corrections—Central Office





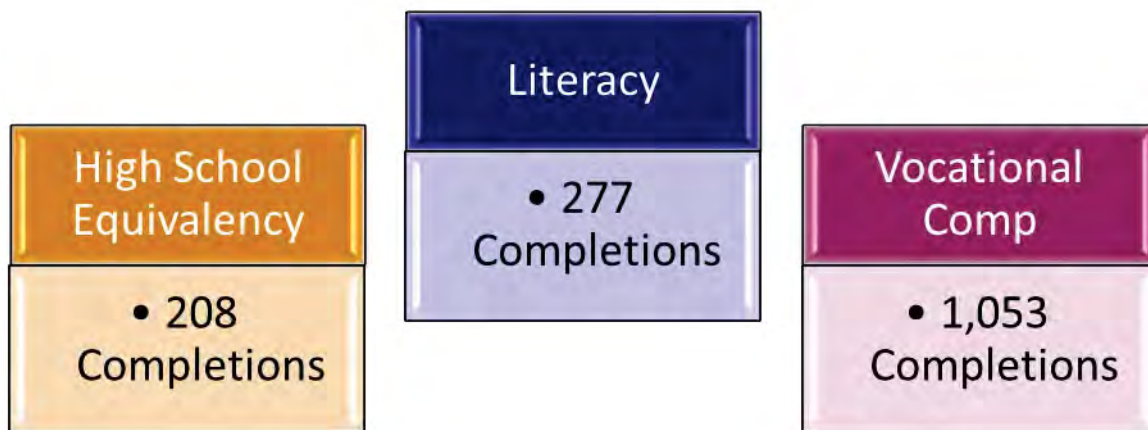
## Agency Accomplishments

### Iowa Corrections—Educational Opportunities

The mission of the Correctional Education is to provide incarcerated individuals with educational opportunities and skills necessary to function successfully and responsibly in society upon their release.

Although times have been challenging, correctional education staff are slowly getting back into the routine of school. Teachers and students have expressed how they missed face-to-face interactions in the classroom. Students have expressed “all the other methods are ok, but face to-face is what we enjoy the most”. Educational program completions are increasing as we focus on improved methods/strategies in direct instruction and infusion of some virtual.

The pandemic greatly impacted the number of successful High School Equivalency Completions, Literacy progress and vocational training. The chart below reflects the progress made since implementing “return to learn plans” at IDOC facilities.



#### Post-Secondary Educational Offerings in Correctional Facilities

As part of the Second Chance Pell Approved Experimental Sites Initiative, the DOC continues to partnership with ICCC and SCC. ICCC offers AA degree programs at six of IDOC nine facilities. Courses are offered at Newton Correctional Facility, Iowa Correctional and Classification Center, Fort Dodge Correctional Facility, North Central Correctional Facility Clarinda Correctional Facility and Iowa Correctional Institution for Women. SCC is offering their first post-secondary program at ISP/MPCF starting October. Grinnell College and the University of Iowa to offer credit-bearing college courses.

The establishment of Iowa Consortium for Higher Education in Prison (ICHEP), a collaboration between the five community colleges, the Department of Education, IBOR and the DOC. Recommendations provided to the DOC identifying college/university that would be a good fit in providing post-secondary programs. Final approval will be provided by the DOC.



## Agency Accomplishments

# Iowa Corrections—Apprenticeship Program



334 Graduates



275 Active Apprentices

## 30 Registered Occupations



Cook, Baker, Housekeeper



Material Coordinator, Maintenance Repairer, Landscape Management



Peer Support Specialist, Office Manager/Administrative Services, Barber



Computer Operator, IT Specialist, Job Printer, Mechanical Drafter



Cabinet Maker, Carpenter, Painter



Welder, Electrician, Plumber, Home Performance Laborer, Heating and Air Conditioner Installer



Metal Fabricator, Power Coating Technician, Refrigeration/AC Tech, Injection Molding Machinist Operator



Audio Video Repairer, Screen Printer, Sewing Machine Repairer, Industrial Sewing Machine Operator, Upholsterer

## Accomplishments



29% Increase in graduated apprentices within the last four years



In-person, online, and self-study training options available



81.2% of apprentices that completed their apprenticeship successfully reenter the community and did not recidivate





## Agency Accomplishments

### Iowa Corrections—Learning Center

1. IDOC employees successfully completed 82,000 eLearning and classroom modules after our successful implementation of the IDOC's Learning Management System.

2. The CQI specialists created and implemented an online Case Review training



#### Case Review Training

1. Case Review training is provided through the LMS for any staff that will be conducting reviews of Probation/ Parole Officers overall case management. Seven criteria areas are covered in the training in which they will learn how to appropriately score and provide feedback to case managers utilizing the CQI database and the Case Review manual. The 7 criteria areas in which case managers will be reviewed and scored on include: timeliness, needs identification, conditions, case management, case planning, CCP, and documentation.
2. Participants will be required to conduct a final mock case review at the end of training to ensure proficiency and will receive coaching and feedback from Central Office CQI staff. Participants may be required to complete additional case reviews in order to receive more coaching and feedback before being deemed proficient.
3. The goal is anyone who is asked to conduct case reviews can begin conducting reviews after completing the training, some just may have Central Office CQI staff reviewing live cases along with them until they reach proficiency. Some may just take the training, complete the final review within the training, receive our feedback, and have no additional steps but to begin reviewing on their own. Central office CQI staff will periodically audit auditors on their Case Reviews to ensure proficiency.
4. The Learning Center is implementing a new New Employee Training curriculum that uses a hybrid, or two phase approach. The first phase is an eLearning with the fundamental information that all IDOC employees need to know. This is done via eLearning in order to promote consistency and fidelity. The second phase is practically applying the skills learned. This provides the instructors and Training Specialists the opportunity to target the instruction to the employee role and specific skill. This also allows for measuring progress, growth, and if necessary, remediation.



## Agency Accomplishments

### Iowa Corrections—Interstate Compact

In FY2021, Iowa was in compliance with the threshold standards imposed by the Interstate Commission of the Adult Offender Supervision, scoring above the national average on several parameters.



# Community Based Corrections



First District



Second District



Third District



Fourth District



Fifth District



Sixth District



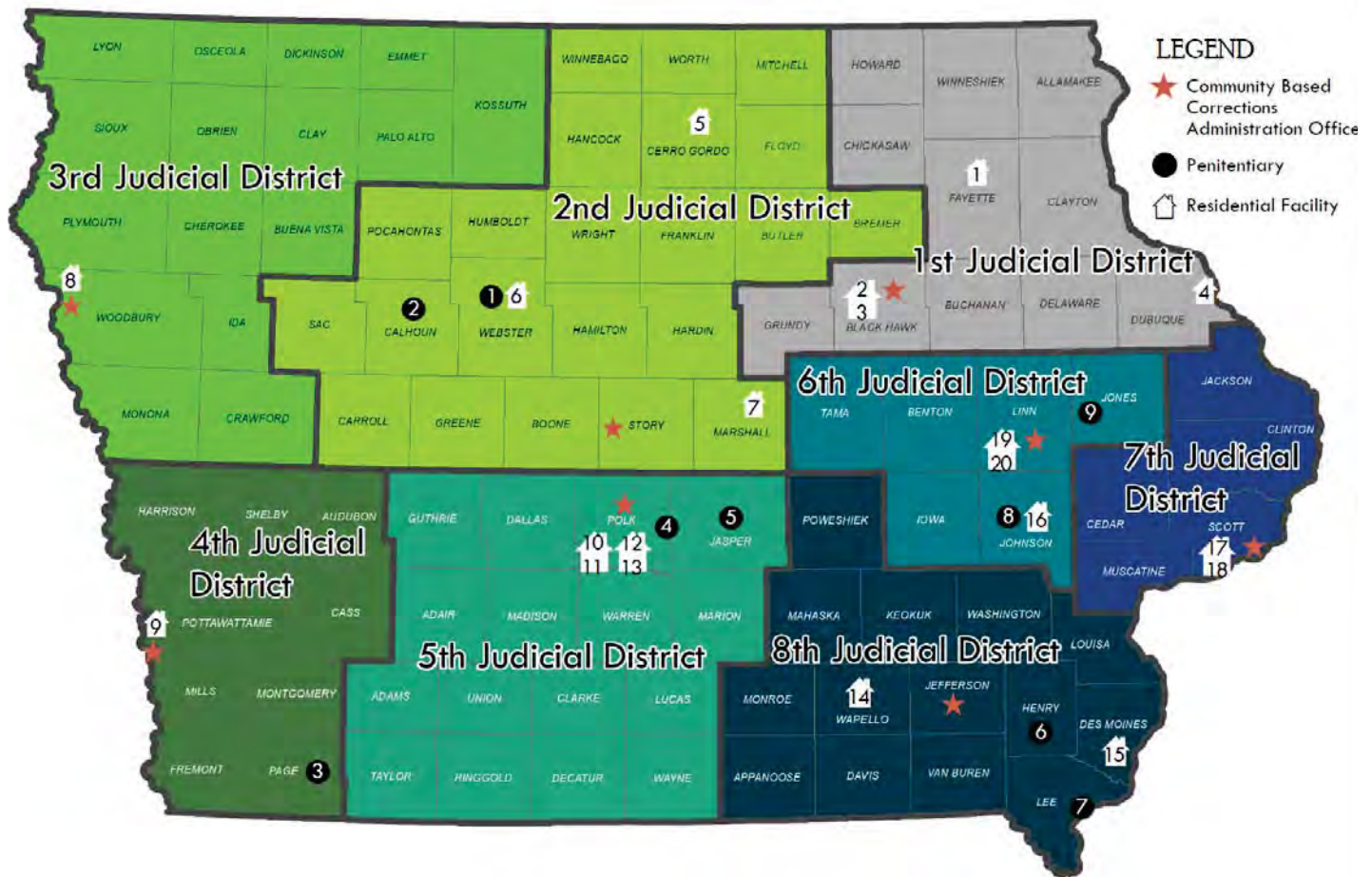
Seventh District



Eighth District

# Iowa Department of Corrections

## Community-Based Corrections Administration Offices and Counties Served



**First Judicial District**  
 Ken Kolthoff, Director  
 314 East 6th Street  
 Waterloo, IA 50704  
 319/236-9626  
 Fax: 319/291-3947

**Second Judicial District**  
 Amanda Milligan, Director  
 111 N. Sherman  
 Ames, IA 50010  
 515/232-1810  
 Fax: 515/232-9453

**Third Judicial District**  
 Maureen Hansen, Director  
 515 Water Street  
 Sioux City, IA 51103  
 712/252-0590  
 Fax: 712/252-0634

**Fourth Judicial District**  
 Kip Shanks, Director  
 801 South 10th Street  
 Council Bluffs, IA 51501  
 712/325-4943

**Fifth Judicial District**  
 Jerry Evans, Director  
 1000 Washington Avenue  
 Des Moines, IA 50314  
 515/242-6611  
 Fax: 515/242-6656

**Sixth Judicial District**  
 Bruce Vander Sanden, Director  
 951 29th Avenue SW  
 Cedar Rapids, IA 52404  
 319/398-3675  
 Fax: 319/398-3684

**Seventh Judicial District**  
 Waylyn McCulloh, Director  
 605 Main Street  
 Davenport, IA 52803  
 563/322-7986  
 Fax: 563/324-2063

**Eighth Judicial District**  
 Dan Fell, Director  
 1805 West Jefferson  
 Fairfield, IA 52556  
 641/472-4242  
 Fax: 641/472-9966

- 1 West Union Resi Facility
- 2 Waterloo Works Release Facility
- 3 Waterloo Women's Center for Change
- 4 Dubuque Residential Facility
- 5 Beje Clark Resi Facility (Mason City)
- 6 Fort Dodge Resi Correctional Center
- 7 Marshalltown Resi Correctional Center

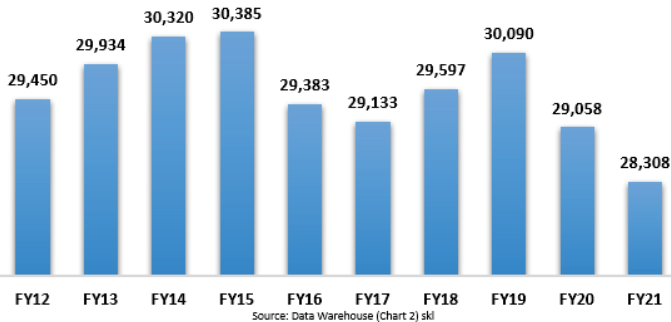
- 8 Sioux City Resi Treatment Facility
- 9 Council Bluffs Resi Correctional Facility x2
- 10 Des Moines Work Release Center
- 11 Fort Des Moines OWI
- 12 Fort Des Moines Resi Facility
- 13 Des Moines Women's Facility
- 14 Ottumwa Resi Facility

- 15 Burlington Resi Facility
- 16 Hope House (Coralville)
- 17 Davenport Resi Facility
- 18 Davenport Work Release/OWI Center
- 19 Gerald R. Hinzman/Larry Nelson Centers (Cedar Rapids)
- 20 ANCHOR Center (Cedar Rapids)

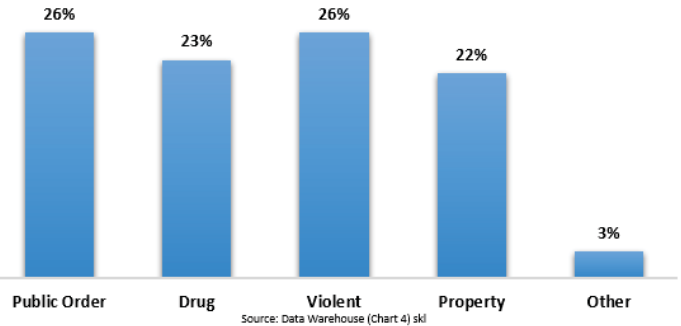


# CBC Population

Community Based Corrections  
Population - End of Fiscal Year



Offense Types FY2021  
Community Based Offenders Served



## FIELD SERVICES 7/1/2020 thru 6/30/2021

Supervision Status	Active at Start	New Admits	Closures	Active at End	Offenders Served
CCUSO Release with Supervision 229A.9A	5	3	3	4	8
Interstate Compact Parole	354	187	203	345	541
Interstate Compact Probation	1,153	615	747	1,055	1,768
No Correctional Supervision Status	5	66	71	10	71
OWI Continuum	3	43	2	14	46
Parole	4,604	1,986	3,011	4,339	6,590
Pretrial Release With Supervision	2,513	6,760	6,728	2,720	9,273
Probation	18,324	13,072	12,239	26,396	31,396
Special Sentence	1,247	236	263	1,429	1,483
<b>Statewide Total</b>	<b>28,208</b>	<b>22,968</b>	<b>23,267</b>	<b>36,312</b>	<b>51,176</b>

Source: Data Warehouse (Chart 3A) ski

## RESIDENTIAL 7/1/2020 thru 6/30/2021

Supervision Status	Active at Start	New Admits	Closures	Active at End	Offenders Served
CCUSO Release with Supervision 229A.9A		1	1		1
Federal	152	507	446	157	659
Interstate Compact Parole		4	3	4	4
Interstate Compact Probation	3	18	5	1	21
Jail (Designated Site)		24	21	1	24
OWI Continuum	35	138	120	68	173
Parole	47	272	158	75	319
Pretrial Release With Supervision		10	1	1	10
Probation	279	1,172	600	397	1,451
Special Sentence	62	200	128	76	262
Work Release	320	1,021	754	373	1,341
<b>Statewide Total</b>	<b>898</b>	<b>3,367</b>	<b>2,237</b>	<b>1,153</b>	<b>4,265</b>

Source: Data Warehouse (Chart 3B) ski

# Iowa Prisons



Anamosa State Penitentiary, Anamosa



Clarinda Correctional Facility, Clarinda



Iowa State Penitentiary, Fort Madison



Iowa Correctional Institution for Women, Mitchellville



Newton Correctional Facility, Newton



Fort Dodge Correctional Facility, Fort Dodge



North Central Correctional Facility, Rockwell City



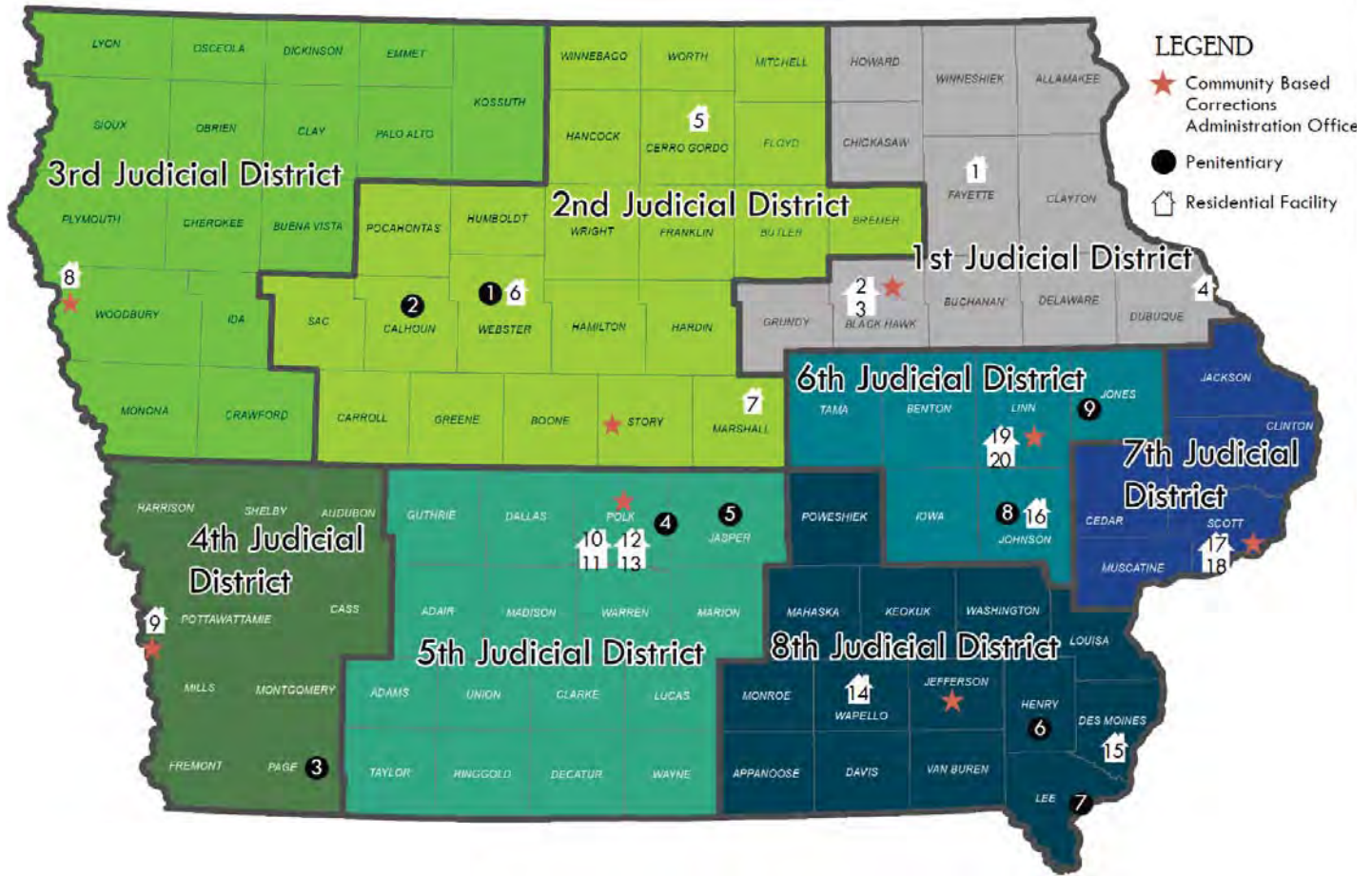
Mt Pleasant Correctional Facility, Mt. Pleasant



Iowa Medical and Classification Center, Coralville

# Iowa Department of Corrections

## Iowa Prisons

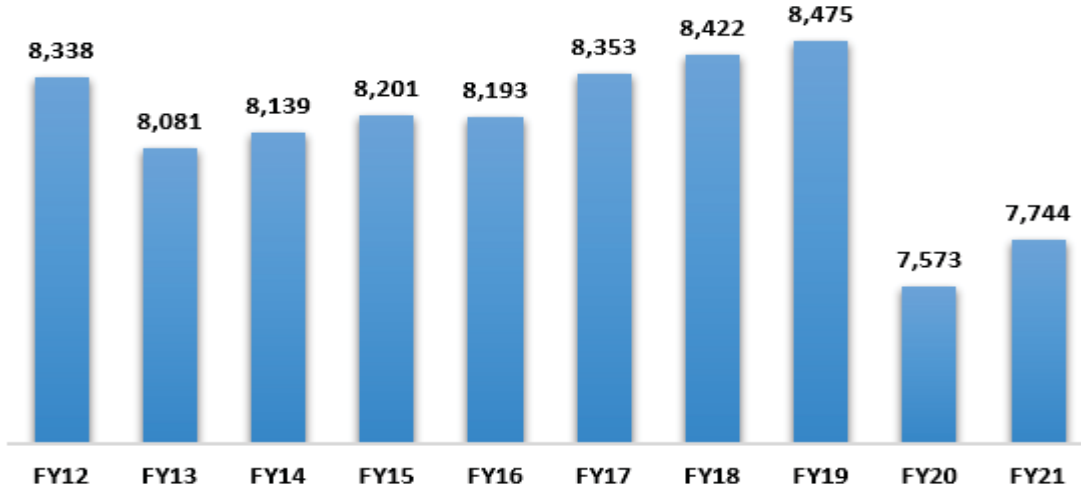


- |   |   |  |
|---|---|--|
| 1 Fort Dodge Correctional Facility<br>Warden Bob Johnson<br>1550 L Street<br>Fort Dodge, Iowa 50501<br>(515) 574-4700               | 2 North Central Correctional Facility<br>Warden Bob Johnson<br>313 Lanedale<br>Rockwell City, Iowa 50579-7464<br>(712) 297-7521         | 3 Clarinda Correctional Facility<br>Warden Steve Weis<br>2000 North 16th Street<br>Clarinda, Iowa 51632<br>(712) 542-5634                  |
| 4 Iowa Correctional Institution for Women<br>Warden Sheryl Dahm<br>300 Elm Avenue SW<br>Mitchellville, Iowa 50169<br>(515) 967-4236 | 5 Newton Correctional Facility<br>Warden Kris Weitzell<br>307 South 60th Avenue, W<br>Newton, Iowa 50208<br>(641) 792-7552              | 5 Mount Pleasant Correctional Facility<br>Warden Jay Nelson<br>1200 East Washington Street<br>Mount Pleasant, Iowa 52641<br>(319) 385-9511 |
| 7 Iowa State Penitentiary<br>Warden Randy Gibbs<br>3 John Bennett Drive<br>Fort Madison, Iowa 52627<br>(319) 372-5432               | 8 Iowa Medical and Classification Center<br>Warden Mike Heinricy<br>2700 Coral Ridge Avenue<br>Coralville, Iowa 52241<br>(319) 626-2391 | 9 Anamosa State Penitentiary<br>Warden Jeremy Larson<br>406 North High Street<br>Anamosa, Iowa 52205<br>(319) 462-3504                     |

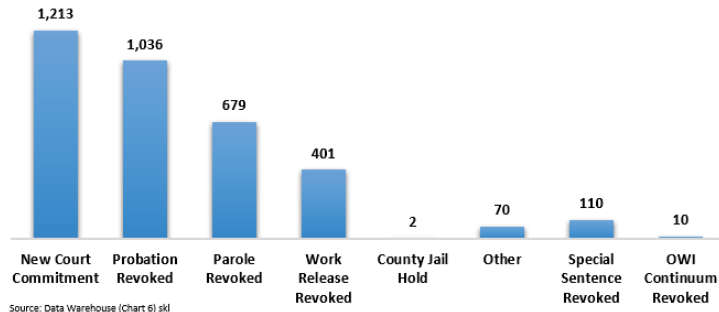


# Prison Population

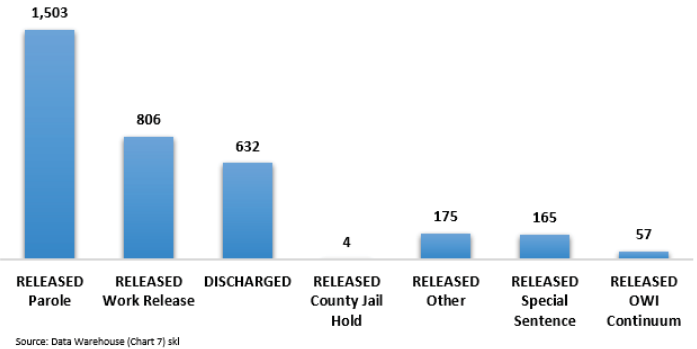
## Prison Population - End of Fiscal Year



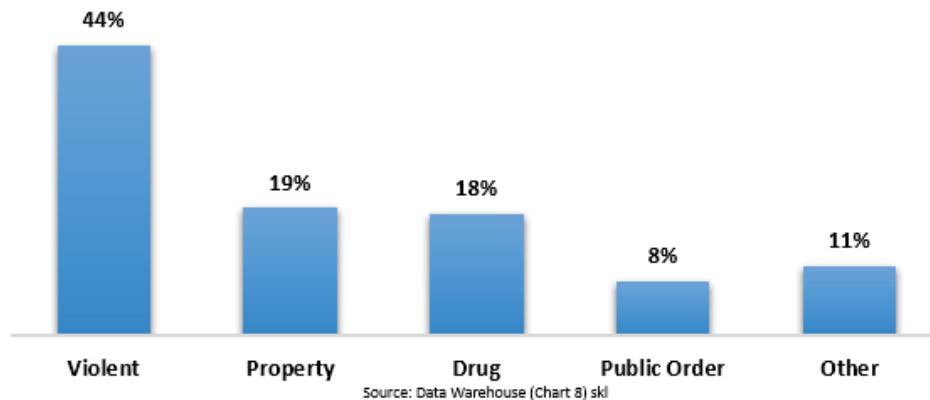
### Types of Prison Admissions FY2021



### Types of Prison Releases FY2021



## Offense Types FY2021 Prison Offenders Served







# Performance Measure Report

Performance Measure	Performance		Performance Comments and Analysis																				
	Target	Actual																					
<b>Core Function: Offender supervision, custody and treatment</b>																							
49-001 Number of Escapes (Prison and Residential)	0	Prison - 0 CBC - 776	<p><b>Prison Escapes</b></p> <table border="1"> <tr><th>Fiscal Year</th><th>Prison Escapes</th></tr> <tr><td>FY18</td><td>0</td></tr> <tr><td>FY19</td><td>2</td></tr> <tr><td>FY20</td><td>1</td></tr> <tr><td>FY21</td><td>0</td></tr> </table> <hr/> <p><b>Residential Escapes</b></p> <table border="1"> <tr><th>Fiscal Year</th><th>Residential Escapes</th></tr> <tr><td>FY18</td><td>860</td></tr> <tr><td>FY19</td><td>969</td></tr> <tr><td>FY20</td><td>910</td></tr> <tr><td>FY21</td><td>776</td></tr> </table>	Fiscal Year	Prison Escapes	FY18	0	FY19	2	FY20	1	FY21	0	Fiscal Year	Residential Escapes	FY18	860	FY19	969	FY20	910	FY21	776
Fiscal Year	Prison Escapes																						
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FY18	860																						
FY19	969																						
FY20	910																						
FY21	776																						
49-005 Number of Disturbances or Serious Incidents by Inmates (Prison)	0	1	<p><b>Disturbances or Serious Incident by Inmates</b></p> <table border="1"> <tr><th>Fiscal Year</th><th>Disturbances or Serious Incident by Inmates</th></tr> <tr><td>FY18</td><td>3</td></tr> <tr><td>FY19</td><td>2</td></tr> <tr><td>FY20</td><td>3</td></tr> <tr><td>FY21</td><td>1</td></tr> </table>	Fiscal Year	Disturbances or Serious Incident by Inmates	FY18	3	FY19	2	FY20	3	FY21	1										
Fiscal Year	Disturbances or Serious Incident by Inmates																						
FY18	3																						
FY19	2																						
FY20	3																						
FY21	1																						
49-006 Number of Serious Injuries Caused to Staff (Prison)	0	12	<p><b>Serious Injuries Caused to Staff</b></p> <table border="1"> <tr><th>Fiscal Year</th><th>Serious Injuries Caused to Staff</th></tr> <tr><td>FY18</td><td>7</td></tr> <tr><td>FY19</td><td>7</td></tr> <tr><td>FY20</td><td>13</td></tr> <tr><td>FY21</td><td>12</td></tr> </table>	Fiscal Year	Serious Injuries Caused to Staff	FY18	7	FY19	7	FY20	13	FY21	12										
Fiscal Year	Serious Injuries Caused to Staff																						
FY18	7																						
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FY20	13																						
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## Performance Measure Report

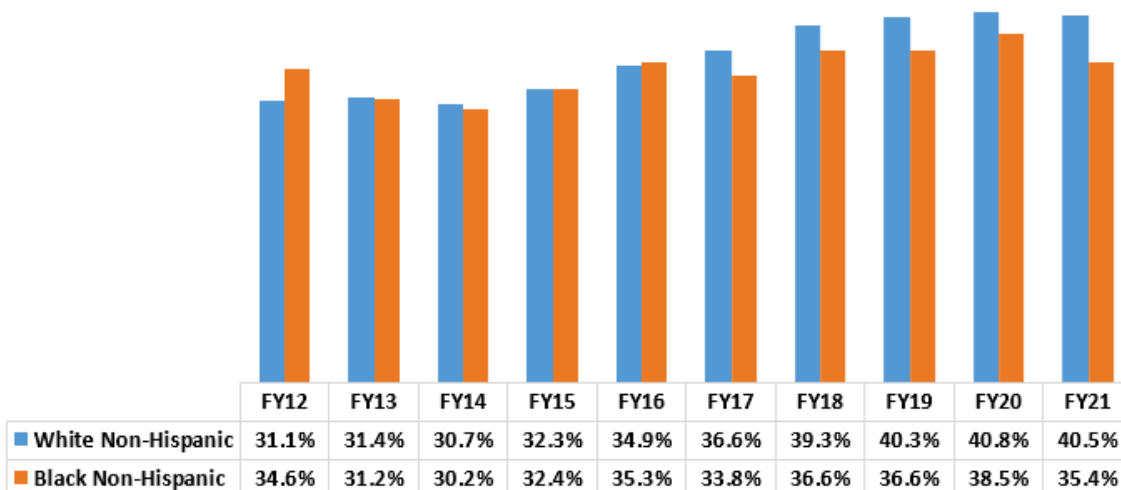
Performance Measure	Performance		Performance Comments and Analysis										
	Target	Actual											
<b>Service, Product or Activity: Risk Identification</b>													
49100-002 Percent Inmates with Custody Assignment Per Risk Assessment Score (Prison)	90%	92%	Target exceeded by 2% in FY2021.										
49100-004 Percent Iowa Risk Assessments Completed	100%	100%	Target met in FY2021.										
49100-005 Percent Required custody Classifications (Prison)	100%	100%	No change from FY2020.										
<b>Service, Product or Activity: Risk Management</b>													
49200-001 Recommendation Rate for Release by Parole Board (Prison)	85%	56%	<p>Recommendation Rate for Release by Parole Board</p> <table border="1"> <caption>Recommendation Rate for Release by Parole Board</caption> <thead> <tr> <th>Fiscal Year</th> <th>Rate (%)</th> </tr> </thead> <tbody> <tr> <td>FY18</td> <td>53%</td> </tr> <tr> <td>FY19</td> <td>54%</td> </tr> <tr> <td>FY20</td> <td>57%</td> </tr> <tr> <td>FY21</td> <td>56%</td> </tr> </tbody> </table>	Fiscal Year	Rate (%)	FY18	53%	FY19	54%	FY20	57%	FY21	56%
Fiscal Year	Rate (%)												
FY18	53%												
FY19	54%												
FY20	57%												
FY21	56%												
49200-002 Percent Technical Violations Resulting in Revocation to Prison	10%	20%	<p>Revocations to Prison for Technical Violations</p> <table border="1"> <caption>Revocations to Prison for Technical Violations</caption> <thead> <tr> <th>Fiscal Year</th> <th>Rate (%)</th> </tr> </thead> <tbody> <tr> <td>FY18</td> <td>16%</td> </tr> <tr> <td>FY19</td> <td>16%</td> </tr> <tr> <td>FY20</td> <td>27%</td> </tr> <tr> <td>FY21</td> <td>20%</td> </tr> </tbody> </table>	Fiscal Year	Rate (%)	FY18	16%	FY19	16%	FY20	27%	FY21	20%
Fiscal Year	Rate (%)												
FY18	16%												
FY19	16%												
FY20	27%												
FY21	20%												



## Performance Measure Report

Performance Measure	Performance		Performance Comments and Analysis
	Target	Actual	
<b>Service, Product or Activity: Basic Life Care</b>			
49400-002 Number of Life Safety Violations (Prison, CBC and Central Office)	0	575	Safety violations were down from 802 in FY2020.
49400-003 Number of Serious Injuries, Accidents or Offender Deaths (Prison, CBC, Central Office and IPI)	0	309	Incidents remained about the same with 307 in FY2020 and 309 in FY2021.
49400-004 Number of Occurrences of Sexual Violence (Prison, CBC and Central Office)	0	48	Cases declined this fiscal year compared to 55 in FY2020.
<b>Service, Product or Activity: Pharmaceuticals, Overtime and Leased Farmland</b>			
67200-001 Percent of Dollars Spent on Institution Pharmaceuticals (Prison)	3%	11%	Pharmaceuticals dramatically increased from 3% over the past 3 fiscal years.
67200-003 Correctional officer Overtime as a percentage of Payroll	2%	3%	Overtime ticked up 1% from FY2020.

### Return Rate to Prison by Race



Source: IDOC (Recid) vv



## Performance Measure Report

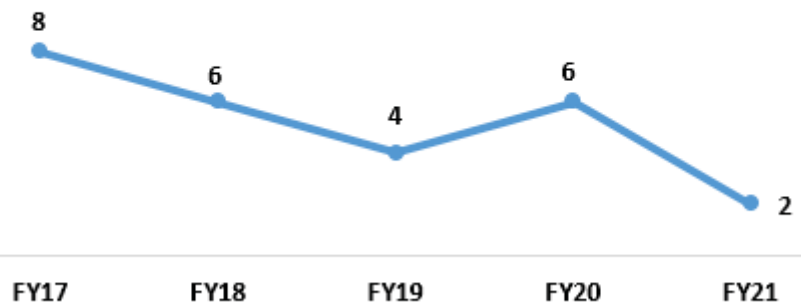
### Implementing the Prison Rape Elimination Act (PREA)

Why this is important: It's about offender safety. PREA is a federal statute focused on sexual assault and victimization in juvenile facilities, prisons, jails, lockups, and other detention facilities. The goal of PREA is to prevent, detect, and respond to sexual abuser in detention and correctional facilities.

#### What we're doing about this:

All DOC institutions have completed and passed PREA audits that ensure compliance with PREA Standards. Audits are conducted every three years to ensure compliance.

### Prison: Substantiated Offender on Offender Non-Consensual Sexual Acts



Source: IDOC (Chart 9) skl

### Prison Suicides

Why this is important: It's about providing basic life care for individuals in custody, and intervening effectively to prevent them from harming themselves.

#### What we're doing about this:

Staff training is key to prevention. Recognizing the warning signs of someone contemplating suicide, and intervening in an emergency situation are essential. Staff training goes beyond these basics to include recognition of and effective communication with individuals who have mental health issues. Regular screening for mental illness and provision of appropriate levels of care

ranging from acute units to integration within the general inmate population is also important in reducing suicide incidents.

### Prison Suicides



Source: CIR (Chart 10) skl

Department Totals

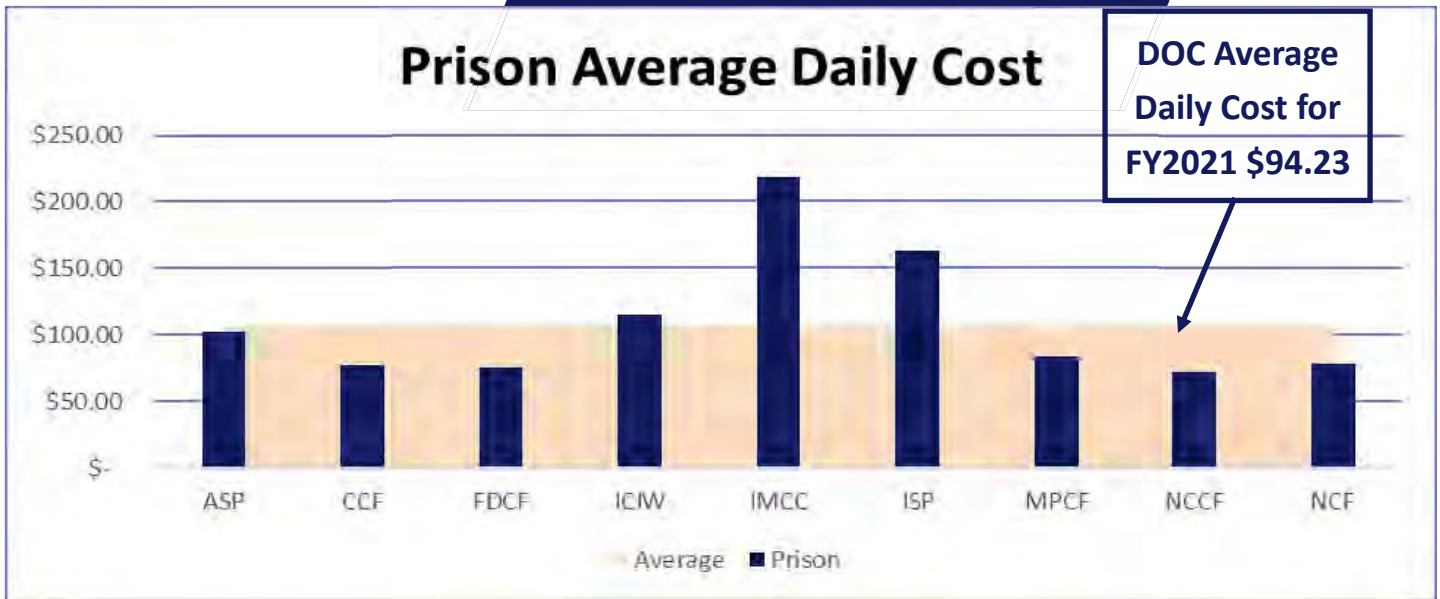
Iowa Department of Corrections  
 FY 2021  
 Financial Status Reports  
 Through the Period Ending June 2021

	Department Revised Budget	Actual Revenues and Expenditures	Encumbrances	Actual + Encumbrances	Percent (Actual of Budget)
<b>FTE Positions</b>					
Correctional Officer	1,384.00				
Total Staffing	2,574.08				
<b>Resources Available</b>					
04B Balance Brought Forward	633,465	120,573.00	-	120,573.00	0.19
05A Appropriation	292,848,460	292,848,460.00	-	292,848,460.00	1.00
-- Appropriation Transfer	-	-	-	-	--
-- Legislative Adjustments	623,770	623,770.00	-	623,770.00	1.00
201R Federal Support	34	-	-	-	-
202R Local Governments	287,000	221,603.11	-	221,603.11	0.77
204R Intra-State Receipts	971,504	15,147,761.58	-	15,147,761.58	15.59
205R Reimbursement from Other Agencies	3,306	727,189.92	-	727,189.92	219.96
234R Transfers - Other Agencies	462,147	1,022,372.61	-	1,022,372.61	2.21
301R Interest	-	-	-	-	--
401R Fees, Licenses & Permits	607,640	746,698.36	-	746,698.36	1.23
501R Refunds & Reimbursements	864,069	1,082,545.85	-	1,082,545.85	1.25
602R Sale of Equipment & Salvage	105	430.96	-	430.96	4.10
603R Rents & Leases	631,286	311,526.78	-	311,526.78	0.49
604R Agricultural Sales	-	-	-	-	--
606R Other Sales & Services	-	-	-	-	--
701R Unearned Receipts	-	-	-	-	--
<b>Total Resources Available</b>	<b>297,932,786</b>	<b>312,852,932.17</b>		<b>312,852,932.17</b>	<b>1.05</b>
<b>Funds Expended and Encumbered</b>					
101 Personal Services-Salaries	236,553,630	230,757,043.13	-	230,757,043.13	0.98
202 Personal Travel (in State)	131,463	267,605.38	-	267,605.38	2.04
203 State Vehicle Operation	559,659	625,628.11	1,305.00	626,933.11	1.12
204 Depreciation	10,279	6,391.00	-	6,391.00	0.62
205 Personal Travel (Out of State)	18,374	4,631.15	-	4,631.15	0.25
301 Office Supplies	199,919	254,959.54	2,490.09	257,449.63	1.29
302 Facility Maintenance Supplies	1,658,805	1,602,313.20	141,106.45	1,743,419.65	1.05
303 Equipment Maintenance Supplies	800,151	704,066.03	326,402.93	1,030,468.96	1.29
304 Professional & Scientific Supplies	936,079	1,421,958.15	50,592.26	1,472,550.41	1.57
306 Housing & Subsistence Supplies	2,188,973	2,537,069.07	122,030.36	2,659,099.43	1.21
307 Ag,Conservation & Horticulture Supply	53,576	77,359.06	429.60	77,788.66	1.45
308 Other Supplies	556,447	756,847.48	150,700.83	907,548.31	1.63
309 Printing & Binding	6	8.24	-	8.24	1.37
310 Drugs & Biologials	8,347,927	8,652,039.59	-	8,652,039.59	1.04
311 Food	10,353,967	10,956,419.07	146,443.78	11,102,862.85	1.07
312 Uniforms & Related Items	1,385,027	1,242,302.69	99,932.69	1,342,235.38	0.97
313 Postage	47,439	82,268.18	-	82,268.18	1.73
401 Communications	641,331	623,440.28	7,531.91	630,972.19	0.98
402 Rentals	98,612	53,193.32	1,762.96	54,956.28	0.56
403 Utilities	9,219,301	8,705,248.98	258,232.47	8,963,479.43	0.97
405 Professional & Scientific Services	2,069,254	2,935,871.05	116,449.15	3,052,320.20	1.45
406 Outside Services	8,298,095	7,793,839.49	29,473.14	7,823,312.63	1.24
407 Intra-State Transfers	256	4,731,367.28	-	4,731,367.28	18,481.90
408 Advertising & Publicity	21,852	29,490.30	173.08	29,663.38	1.36
409 Outside Repairs/Service	1,144,768	1,316,234.29	306,401.40	1,624,635.69	1.42
412 Auditor of State Reimbursements	-	-	-	-	--
414 Reimbursement to Other Agencies	6,200,248	5,608,834.26	31,505.00	5,640,339.26	0.91
416 ITD Reimbursements	2,135,400	1,642,979.28	7,040.00	1,650,019.28	0.77
417 Worker's Compensation	-	-	-	-	--
418 IT Outside Services	1,092,906	1,931,324.25	-	1,931,324.25	0.97
419 Intra Agency Reimbursement	-	-	-	-	--
433 Transfers - Auditor of State	1,200	753.75	-	753.75	0.63
434 Transfers - Other Agencies Services	23,837	74,176.88	-	74,176.88	3.11
501 Equipment	74,784	820,648.19	180,681.75	1,001,329.94	13.39
502 Office Equipment	14,897	17,000.34	-	17,000.34	1.14
503 Equipment - Non-Inventory	177,485	903,768.25	240,311.08	1,144,079.33	6.45
510 IT Equipment	789,539	2,013,925.55	66,225.73	2,080,151.28	2.63
601 Claims	501	464.13	-	464.13	0.93
602 Other Expense & Obligations	2,827,086	2,551,022.85	36,981.48	2,588,004.33	0.92
604 Securities	6,233	(23,942.20)	-	(23,942.20)	(3.84)
701 Licenses	11,636	9,777.60	-	9,777.60	0.84
702 Fees	116	120.00	-	120.00	1.03
705 Refunds-Other	-	-	-	-	--
901 Capitals	100	-	-	-	--
-- Balance Carry Forward	351,610	-	-	-	-
-- Reversion	-	-	-	-	--
<b>Total Expenses and Encumbrances</b>	<b>297,932,786</b>	<b>301,690,445.47</b>	<b>2,324,209.14</b>	<b>304,014,648.61</b>	<b>1.02</b>
<b>Ending Balance</b>				<b>8,838,283.56</b>	

Iowa Department of Corrections  
 FY 2021  
 Financial Status Reports  
 Through the Period Ending June 2021

	Department Revised Budget	Actual Revenues and Expenditures	Encumbrances	Actual + Encumbrances	Percent (Actual of Budget)
<b>FTE Positions</b>					
Correctional Officer	1,384.00				
Total Staffing	2,536.08				
<b>Resources Available</b>					
04B Balance Brought Forward	118,181	118,181.00	-	118,181.00	1.00
05A Appropriation	281,411,915	281,411,915.00	-	281,411,915.00	1.00
-- Appropriation Transfer	-	-	-	-	--
-- Legislative Adjustments	-	-	-	-	--
201R Federal Support	33	-	-	-	-
202R Local Governments	227,000	221,603.11	-	221,603.11	0.98
204R Intra State Receipts	262,984	9,860,533.16	-	9,860,533.16	37.49
205R Reimbursement from Other Agencies	3,306	-	-	-	-
234R Transfers - Other Agencies	454,996	953,429.39	-	953,429.39	2.10
301R Interest	-	-	-	-	--
401R Fees, Licenses & Permits	607,640	746,698.36	-	746,698.36	1.23
501R Refunds & Reimbursements	819,069	1,061,945.85	-	1,061,945.85	1.30
602R Sale of Equipment & Salvage	105	430.96	-	430.96	4.10
603R Rents & Leases	631,286	311,526.78	-	311,526.78	0.49
604R Agricultural Sales	-	-	-	-	--
606R Other Sales & Services	-	-	-	-	--
701R Unearned Receipts	-	-	-	-	--
<b>Total Resources Available</b>	<b>294,536,515</b>	<b>294,686,263.61</b>		<b>294,686,263.61</b>	<b>1.04</b>
<b>Funds Expended and Encumbered</b>					
101 Personal Services-Salaries	231,415,250	225,901,252.73	-	225,901,252.73	0.98
202 Personal Travel (In State)	116,718	264,864.79	-	264,864.79	2.27
203 State Vehicle Operation	540,111	609,738.63	1,305.00	611,043.63	1.13
204 Depreciation	10,278	6,391.00	-	6,391.00	0.62
205 Personal Travel (Out of State)	5,968	4,631.15	-	4,631.15	0.79
301 Office Supplies	180,876	238,919.33	2,490.09	241,409.42	1.33
302 Facility Maintenance Supplies	1,658,805	1,602,313.20	141,106.45	1,743,419.65	1.05
303 Equipment Maintenance Supplies	800,151	704,066.03	326,402.93	1,030,468.96	1.29
304 Professional & Scientific Supplies	936,079	1,421,958.15	50,592.26	1,472,550.41	1.57
306 Housing & Subsistence Supplies	2,188,973	2,537,069.07	122,030.36	2,659,099.43	1.21
307 Ag Conservation & Horticulture Supply	53,576	77,369.06	429.60	77,798.66	1.45
308 Other Supplies	556,080	748,085.44	150,700.83	898,786.27	1.62
309 Printing & Binding	5	-	-	-	-
310 Drugs & Biologicals	8,347,927	8,652,039.59	-	8,652,039.59	1.04
311 Food	10,353,987	10,956,419.07	148,443.78	11,102,862.85	1.07
312 Uniforms & Related Items	1,385,027	1,242,302.99	99,932.69	1,342,235.68	0.97
313 Postage	44,411	78,505.68	-	78,505.68	1.77
401 Communications	520,103	515,093.00	7,531.91	522,624.91	1.00
402 Rentals	98,612	53,193.32	1,762.96	54,956.28	0.56
403 Utilities	9,219,301	8,705,245.96	256,232.47	8,963,479.43	0.97
405 Professional & Scientific Services	2,089,253	2,895,655.65	116,449.15	3,012,304.80	1.44
406 Outside Services	1,478,728	2,714,199.10	29,473.14	2,743,672.24	1.86
407 Intra-State Transfers	256	-	-	-	-
408 Advertising & Publicity	21,852	29,490.30	173.08	29,663.38	1.36
409 Outside Repairs/Service	1,144,768	1,318,234.29	306,401.40	1,624,635.69	1.42
412 Auditor of State Reimbursements	-	-	-	-	--
414 Reimbursement to Other Agencies	5,410,720	5,458,026.39	31,505.00	5,489,531.39	1.01
416 ITD Reimbursements	2,096,126	1,612,816.50	7,040.00	1,619,856.50	0.77
417 Worker's Compensation	-	-	-	-	--
418 IT Outside Services	205	-	-	-	-
419 Intra Agency Reimbursement	-	-	-	-	--
433 Transfers - Auditor of State	-	-	-	-	--
434 Transfers - Other Agencies Services	3,840	60,280.47	-	60,280.47	15.70
501 Equipment	74,784	820,648.19	180,681.75	1,001,329.94	13.39
502 Office Equipment	14,895	17,000.34	-	17,000.34	1.14
503 Equipment - Non-Inventory	177,485	902,079.32	240,311.08	1,142,390.40	6.44
510 IT Equipment	752,102	1,206,432.84	66,225.73	1,272,658.57	1.69
601 Claims	501	464.13	-	464.13	0.93
602 Other Expense & Obligations	2,827,011	2,550,907.10	36,981.48	2,587,888.58	0.92
604 Securities	-	-	-	-	--
701 Licenses	11,636	9,777.60	-	9,777.60	0.84
702 Fees	115	120.00	-	120.00	1.04
705 Refunds-Other	-	-	-	-	--
901 Capitals	100	-	-	-	-
-- Balance Carry Forward	-	-	-	-	--
-- Reversion	-	-	-	-	--
<b>Total Expenses and Encumbrances</b>	<b>294,536,515</b>	<b>283,915,781.41</b>	<b>2,324,203.14</b>	<b>286,239,984.55</b>	<b>1.01</b>
<b>Ending Balance</b>				<u><u>8,446,279.06</u></u>	

	Department Revised Budget	Actual Revenues and Expenditures	Encumbrances	Actual Revenues and Expenditures	Percent (Actual of Budget)
<b>FTE Positions</b>					
Residential Officer	281.82	282.17		282.17	100.12%
Total Staffing	1,087.28	1,087.89		1,087.89	100.04%
<b>Resources Available</b>					
04B Balance Brought Forward	4,604,930.00	5,352,602.00	-	5,352,602.00	116.24%
05A Appropriation	93,756,372.00	93,756,372.00	-	93,756,372.00	100.00%
-- Appropriation Transfer	-	-	-	-	--
-- Legislative Adjustments	-	-	-	-	--
201R Federal Support	656,844.00	915,313.00	-	915,313.00	139.35%
202R Local Governments	870,616.00	870,616.00	-	870,616.00	100.00%
204R Intra State Receipts	4,098,477.00	5,508,648.00	-	5,508,648.00	134.41%
205R Reimbursement from Other Agencies	318,651.00	289,300.00	-	289,300.00	90.79%
234R Transfers - Other Agencies	-	-	-	-	--
301R Interest	45,600.00	30,120.00	-	30,120.00	66.05%
401R Fees, Licenses & Permits	4,368,687.00	5,335,933.00	-	5,335,933.00	122.14%
402R Tuition & Fees	15,000.00	-	-	-	0.00%
501R Refunds & Reimbursements	10,890,710.00	12,031,354.00	-	12,031,354.00	110.41%
602R Sale of Equipment & Salvage	-	62,073.00	-	62,073.00	--
603R Rents & Leases	-	-	-	-	--
604R Agricultural Sales	-	-	-	-	--
606R Other Sales & Services	-	-	-	-	--
704R Other	254,000.00	861,694.00	-	861,694.00	339.25%
<b>Total Resources Available</b>	<b>119,885,887.00</b>	<b>125,014,025.00</b>		<b>125,014,025.00</b>	<b>104.28%</b>
<b>Funds Expended</b>					
101 Personal Services-Salaries	102,723,091.00	100,943,279.00	-	100,943,279.00	98.27%
202 Personal Travel (In State)	258,060.00	131,659.00	-	131,659.00	51.01%
203 State Vehicle Operation	296,570.00	244,955.00	-	244,955.00	82.60%
204 Depreciation	-	-	-	-	--
205 Personal Travel (Out of State)	27,100.00	4,745.00	-	4,745.00	17.51%
301 Office Supplies	292,000.00	232,119.00	-	232,119.00	79.49%
302 Facility Maintenance Supplies	233,343.00	145,353.00	-	145,353.00	62.29%
303 Equipment Maintenance Supplies	-	-	-	-	--
304 Professional & Scientific Supplies	289,148.00	231,187.00	-	231,187.00	79.95%
306 Housing & Subsistence Supplies	336,500.00	342,694.00	-	342,694.00	101.84%
307 Ag Conservation & Horticulture Supply	-	-	-	-	--
308 Other Supplies	154,602.00	166,824.00	-	166,824.00	107.91%
309 Printing & Binding	-	-	-	-	--
310 Drugs & Biologicals	-	-	-	-	--
311 Food	1,810,641.00	1,423,486.00	-	1,423,486.00	78.62%
312 Uniforms & Related Items	9,200.00	2,699.00	-	2,699.00	29.34%
313 Postage	-	-	-	-	--
401 Communications	693,692.00	693,488.00	-	693,488.00	99.97%
402 Rentals	718,862.00	709,550.00	-	709,550.00	98.70%
403 Utilities	1,357,539.00	1,268,724.00	-	1,268,724.00	93.46%
405 Professional & Scientific Services	3,013,675.00	2,778,939.00	-	2,778,939.00	92.20%
406 Outside Services	373,849.00	438,820.00	-	438,820.00	117.38%
407 Intra-State Transfers	-	-	-	-	--
408 Advertising & Publicity	3,800.00	7,239.00	-	7,239.00	190.50%
409 Outside Repairs/Service	1,657,173.00	1,629,131.00	-	1,629,131.00	98.31%
412 Auditor of State Reimbursements	900.00	-	-	-	0.00%
414 Reimbursement to Other Agencies	444,822.00	410,386.00	-	410,386.00	92.26%
416 ITS Reimbursements	433,805.00	451,339.00	-	451,339.00	104.04%
417 Worker's Compensation	9,500.00	2,243.00	-	2,243.00	23.61%
418 IT Outside Services	-	-	-	-	--
434 Transfers - Other Agencies Services	-	-	-	-	--
501 Equipment	338,897.00	565,304.00	-	565,304.00	166.91%
502 Office Equipment	17,700.00	21,500.00	-	21,500.00	121.47%
503 Equipment - Non-Inventory	303,959.00	233,329.00	-	233,329.00	76.78%
510 IT Equipment	1,154,077.00	1,013,584.00	-	1,013,584.00	87.83%
601 Claims	-	16,465.00	-	16,465.00	--
602 Other Expense & Obligations	522,152.00	427,949.00	-	427,949.00	81.96%
609 Bonds, Credit Union, Deferred Comp	-	-	-	-	--
705 Refunds-Other	-	-	-	-	--
901 Capital	678,806.00	431,221.00	-	431,221.00	63.53%
91B Balance Carry Forward	1,732,404.00	10,045,814.00	-	10,045,814.00	579.88%
-- Reversion	-	-	-	-	--
<b>Total Expenses</b>	<b>119,885,887.00</b>	<b>125,014,025.00</b>		<b>125,014,025.00</b>	<b>104.28%</b>
<b>Ending Balance</b>					

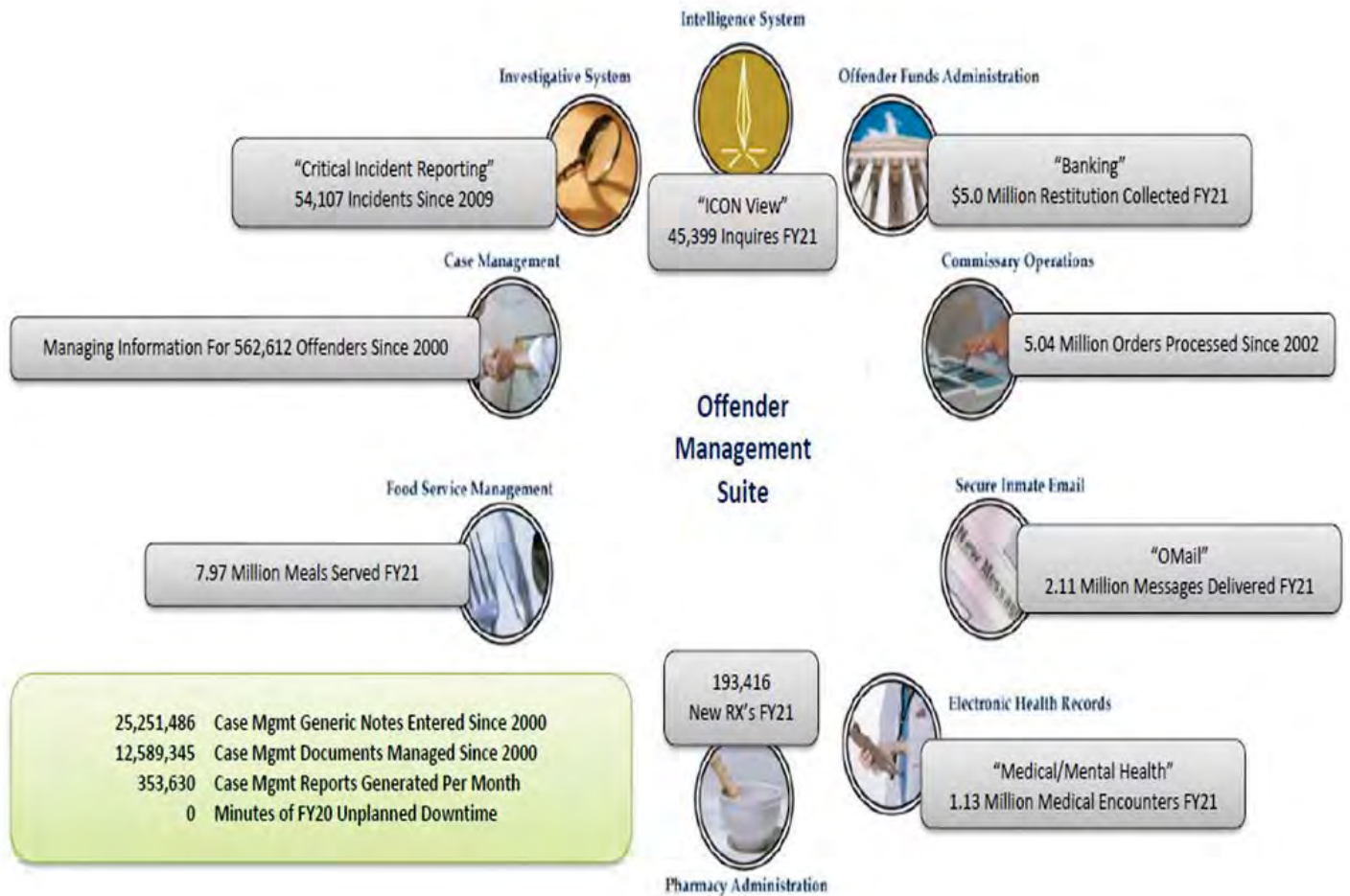


This represents an increase of \$12.46 average daily cost (11.7%) from FY2019. This is a result of the population reduction and increase in expenses due to COVID-19. During this pandemic, the population went from a high of 8,539 on March 13, 2020 to a low of 7,349 on September 2, 2020. Facilities also experienced an increase in various costs due to outbreaks including food, medical supplies and staff overtime.





# Iowa Corrections Offender Network (ICON)



## Data Sharing Initiatives Federal, State and Local Agencies

Courts (CJIS)	VINE Victim Notification	National Corrections Reporting Program
County Attorneys (CJIS)	DHS Child Care Assistance	Internal Revenue Service
DPS Sex Offender Registry (CJIS)	DHS Child Support Recovery	Social Security Administration
DPS Rapsheet Records (CJIS)	DHS Family Investment	N-DEX FBI National Data Exchange
DPS LiveScan Fingerprints	DHS Iowa Food Assistance	Immigration Services
Law Enforcement Officer Photo (CJIS)	DHS Medicaid Fraud	Data Warehouse
NLETS National Law Enforcement (CJIS)	Board of Parole	Statewide Crime Code Table (CJIS)
SAS: Analytics Software and Solutions		County Jail Health Information Exchange



## Iowa Corrections Offender Network (ICON)

### Deployment Dates

1999	Offender Funds Administration (Banking)
1999	Commissary Operations
2000	Case Management – Community
2002	Electronic Health Records
2003	Pharmacy Administration
2004	Case Management – Prisons
2007	Food Service Management
2008	Investigative System (CIRs)
2009	Intelligence System (ICON View)
2010	Secure Inmate Email (OMail)
2012	Case Management – PDA Integration
2013	Board of Parole Integration

### Active Offenders By Supervision

27,771	Probation
8,396	Prison
4,922	Parole
2,721	Pretrial Release With Supervision
1,733	Special Sentence
1,118	Interstate Compact Probation
546	Work Release
359	Interstate Compact Parole
258	Federal
122	OWI Continuum
32	Prison Safekeeper
24	Prison Compact
7	Other

### Outside Federal, State and Local Agencies with System Access

Governor's Office  
 Public Safety  
 Human Services (Child Support Recovery Unit)  
 Attorney General  
 Board of Parole  
 Local Sheriff and Police Departments  
 Immigration and Customs Enforcement  
 Federal Probation/Parole Offices  
 Citizen's Aide/Ombudsman  
 Criminal and Juvenile Justice Planning  
 Inspection and Appeals  
 Insurance Fraud Bureau  
 Vocational Rehabilitation Services  
 Workforce Development

<p>First Judicial District 314 East 6th Street Waterloo, Iowa 50704 (319) 236-9626</p>	<p>Third Judicial District 515 Water Street Sioux City, Iowa 51103 (712) 252-0590</p>	<p>Fifth Judicial District 1000 Washington Avenue Des Moines, Iowa 50314 (515) 242-6600</p>	<p>Seventh Judicial District 605 Main Street Davenport, Iowa 52803 (563) 322-7986</p>
<p>Second Judicial District 111 N. Sherman Ames, IA 50010 (515) 232-1810</p>	<p>Fourth Judicial District 801 South 10th Street Council Bluffs, IA 51501 (712) 325-4943</p>	<p>Sixth Judicial District 951 29th Avenue SW Cedar Rapids, Iowa 52404 (319) 398-3675</p>	<p>Eighth Judicial District 1805 West Jefferson Fairfield, Iowa 52556 (641) 472-4242</p>

## 2021 ANNUAL REPORT

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*Annual Report prepared by:  
Johana Herdrich 515-725-0027*

## Mission:

**Creating Opportunities  
for Safer Communities**



<p>Anamosa State Penitentiary 406 North High Street Anamosa, Iowa 52205 (319) 462-3504</p>	<p>Iowa Correctional Institution for Women 300 Elm Avenue SW Mitchellville, Iowa 50169 (515) 967-4236</p>	<p>Iowa State Penitentiary 3 John Bennett Drive Fort Madison, Iowa 52627 (319) 372-5432</p>
<p>Clarinda Correctional Facility 2000 North 16th Street Clarinda, Iowa 51632 (712) 542-5634</p>	<p>Iowa Medical and Classification Center 2700 Coral Ridge Avenue Coralville, Iowa 52241 (319) 626-2391</p>	<p>Newton Correctional Facility 307 South 60th Avenue, W Newton, Iowa 50208 (641) 792-7552</p>
<p>Fort Dodge Correctional Facility 1550 L Street Fort Dodge, Iowa 50501 (515) 574-4700</p>	<p>Mount Pleasant Correctional Facility 1200 East Washington Street Mount Pleasant, Iowa 52641 (319) 385-9511</p>	<p>North Central Correctional Facility 313 Lanedale Rockwell City, Iowa 50579-7464 (712) 297-7521</p>